# Potential energy profiles along the doubly degenerate vibrational modes in conjugated molecules

I. General theory based on the perturbation method

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In order to predict the molecular symmetries and the geometrical structures of conjugated molecules having the doubly degenerate first-order Jahn-Teller active modes  $(Q_1 \text{ and } Q_2)$  or the doubly denegerate modes through which the second-order vibronic couplings occur  $(Q'_1 \text{ and } Q'_2)$ , the potential energy curves along these modes are expressed as the power series, including up to the third power. It is shown that although there are cases in which we cannot practically differentiate between the potential energy profiles along  $Q_1$  and  $Q_2$  or  $Q'_1$  and  $Q'_2$ , in so far as we can differentiate between them, a potential energy minimum should always be located along  $Q_1$  or  $Q'_1$  that distorts a molecule in a more symmetrical way. This is in agreement with the available experimental facts. Finally on the basis of the perturbation theory, the coefficients of various powers (up to the third power) in the expansion of the electronic part of potential energy in the power series of the relevant mode are expressed in terms of the zeroth-order electronic wavefunctions and energies.

Key words: Potential energy surface—first-order Jahn-Teller effect—secondorder Jahn-Teller effect

#### 1. Introduction

The Jahn-Teller theorem [1] gives a substantial clue for determining the molecular symmetries and predicting the geometrical structures of molecules having degenerate ground states. Nuclear distortions due to the Jahn-Teller effect have been

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predicted for a wide variety of molecules, though the experimental evidence of their existence is very scarce. The effect is called the first-order Jahn–Teller (FOJT) effect, since it arises from the energy lowering due to the first-order terms in Eq. (1):

$$E(Q_1, Q_2, \ldots) = E^0 + \sum_i \left(\frac{\partial E}{\partial Q_i}\right)_0 Q_i + \frac{1}{2} \sum_i \sum_j \left(\frac{\partial^2 E}{\partial Q_i \partial Q_j}\right)_0 Q_i Q_j$$
$$+ \frac{1}{6} \sum_i \sum_j \sum_k \left(\frac{\partial^3 E}{\partial Q_i \partial Q_j \partial Q_k}\right)_0 Q_i Q_j Q_k + \cdots$$
(1)

where  $Q_i$  is the small nuclear displacement along the *i*th nuclear (symmetry) coordinate and  $E^0$  is the original energy at point  $Q_i = 0$  (i = 1, 2, ...).

In recent years, the second-order Jahn–Teller (SOJT) effect, which manifests in molecules having nondegenerate ground states, has received wide attention, the effect being associated with the energy lowering due to the second-order terms in Eq. (1). Pearson [2] has demonstrated how well the symmetry rules derived from the SOJT effect work in predicting the geometrical structures for a variety of inorganic and simple organic molecules. Nakajima [3] and Nakajima et al. [4] have successfully applied the SOJT effect to the prediction of the geometrical structures with respect to C–C bond lengths of the ground and lower excited states of conjugated hydrocarbons.

It is the purpose of the present and the following paper to examine on the basis of Eq. (1) the potential energy profiles of conjugated molecules along the doubly degenerate FOJT active modes  $(Q_1 \text{ and } Q_2)$  or along the doubly degenerate modes through which the second-order vibronic couplings responsible for the second-order terms in Eq. (1) occur (SO coupling modes<sup>1</sup>,  $Q'_1$  and  $Q'_2$ ). As an example of molecules treated, in Fig. 1 are shown the doubly degenerate FOJT active modes (E'),  $Q_1$  and  $Q_2$ , for the cyclopropenyl radical belonging to  $D_{3h}$ . The FOJT theorem provides no information as to along which of  $Q_1$  and  $Q_2$  a minimum of potential energy exists. In order to answer this question we have to take into account the second-order terms with respect to the FOJT active modes.



Fig. 1. The FOJT active degenerate modes (E') of the cyclopropenyl radical. Suffixes p and n indicate the positive and negative directions of  $Q_1$ , respectively

<sup>&</sup>lt;sup>1</sup> The SO coupling mode does not always bring about the SOJT effect: if in a molecule the energy gap between the ground and excited states that couple through the SO coupling mode is larger than a certain critical value, the molecule does not undergo the SOJT effect [2, 3]

If an energy minimum is predicted to exist along  $Q_1$  by examining the second-order terms, which is true of this molecule (vide infra), we need the further information as to along which of the positive and negative directions of  $Q_1$  the energy minimum is located. This is because, obviously, nuclear distortions along the mutually opposite directions of  $Q_1$  produce the different molecular shapes: an oblate triangle form in the positive direction  $(Q_{1p})$  and a prolate triangle form in the negative direction  $(Q_{1n})$ . In order to predict which of the two forms corresponds to the true energy minimum, the third-order term with respect to  $Q_1$  should at least be taken into account.

An example of cases in which the SO coupling modes are (doubly) degenerate is given by the lowest excited triplet state  $({}^{3}B_{1u})$  and the second excited singlet state  $({}^{1}B_{1u})$  of benzene. The SO coupling modes,  $Q'_{1}$  and  $Q'_{2}$ , through which the  ${}^{3}B_{1u}$  and  ${}^{1}B_{1u}$  states vibronically couple with the nearby higher degenerate excited  ${}^{3}E_{1u}$  and  ${}^{1}E_{1u}$  states, respectively, belong to  $E_{2g}$  and are shown in Fig. 2. As will be shown later, a minimum of potential energy is found along  $Q'_{1}$  in both cases. Now that the nuclear distortions along mutually opposite directions of  $Q'_{1}$  give different molecular shapes, the quinoid and antiquinoid forms, in order to predict which form is energetically more favorable, we have to invoke at least the third-order term with respect to  $Q'_{1}$ .

Liehr [5] has examined semiquantitatively the potential energy profiles of the cyclopropenyl radical and shown that an energy minimum should lie in one direction of  $Q_1$  and a saddle point in the other. He has not, however, mentioned along which of the positive and negative directions of  $Q_1$  the energy minimum is located. Öpik and Pryce [6] have studied theoretically the molecular shapes of octahedral metal complexes having the degenerate ground states  $({}^2E_g)$  such as  $Cu(H_2O)_6^{2+}$ . Taking into account the third-order terms with respect to the FOJT active modes, together with the electrostatic interactions between  $Cu^{2+}$  and the water molecules, they have concluded that in  $Cu(H_2O)_6^{2+}$  the tetragonally elongated form is energetically more stable than the tetragonally compressed one. As to the octahedral  $Cu^{2+}$  complexes, Jotham and Kettle [7] have reached the same conclusion by assuming that a section of the potential well might be described by the familiar Morse curve and by examining the restoring forces for this case.



Fig. 2. The SO coupling degenerate modes  $(E_{2g})$  of the lowest excited triplet state  $({}^{3}B_{1u})$  and the second excited singlet state  $({}^{1}B_{1u})$  of benzene

In this paper, we develope a general theory for predicting along which of the doubly degenerate FOJT active or SO coupling modes of conjugated molecules an energy minimum may possibly exist and furthermore along which of the mutually opposite directions of thus decided mode the energy minimum should be located. For this purpose, we examine the sections of potential energies along the relevant modes by taking into account up to the third-order terms in Eq. (1). Applications of the general theory to individual molecules will be made in the following paper.

#### 2. Theory

We start by assuming for a conjugated molecule a fully-symmetrical arrangement of carbon nuclei, and then distort the nuclei from the symmetrical arrangement by means of a pair of degenerate nuclear coordinates  $Q_1$  and  $Q_2$  or  $Q'_1$  and  $Q'_2$ . The sections of potential energy along these modes are expressed as the power series of the relevant modes, including up to the third power.

First, we treat the nondegenerate case. The potential energy surface as a function of  $Q_1$  and  $Q_2$  of a nondegenerate ground state,  $\psi_0$ , is given by

$$E_0(Q_1, Q_2) = \langle \psi_0(Q_1, Q_2) | H' | \psi_0(Q_1, Q_2) \rangle$$
(2)

where the brackets mean the integration with respect to electronic coordinates, and H' is defined as

$$H' = H'(Q_1, Q_2) = H(Q_1, Q_2) - E_0^0$$
(3)

whre  $E_0^0$  is the ground-state energy of the original structure.

For molecules having doubly degenerate ground states,  $\psi_{01}$  and  $\psi_{02}$ , the potential energy surface is composed of the two parts, one belonging to a totally symmetric representation

$$E_{0,s}(Q_1, Q_2) = \{ \langle \psi_{01}(Q_1, Q_2) | H' | \psi_{01}(Q_1, Q_2) \rangle + \langle \psi_{02}(Q_1, Q_2) | H' | \psi_{02}(Q_1, Q_2) \rangle \} / 2$$
(4)

and the other to a nontotally symmetric degenerate one of the original point group

$$E_{0,n1}(Q_1, Q_2) = \{ \langle \psi_{01}(Q_1, Q_2) | H' | \psi_{01}(Q_1, Q_2) \rangle \\ - \langle \psi_{02}(Q_1, Q_2) | H' | \psi_{02}(Q_1, Q_2) \rangle \} / 2$$
(5-1)

$$E_{0,n2}(Q_1, Q_2) = \langle \psi_{01}(Q_1, Q_2) | H' | \psi_{02}(Q_1, Q_2) \rangle.$$
(5-2)

Note that for the degenerate SO coupling modes,  $Q_1$  and  $Q_2$  in Eqs. (2)-(5) should be replaced by  $Q'_1$  and  $Q'_2$ , respectively. We now expand Eqs. (2), (4) and (5) in the power series of  $Q_1$  and  $Q_2$  up to the third power. In Table 1 are shown degenerate nuclear distortions (up to the third power) that form the bases for irreducible representations of the point groups,  $D_{3h}$ ,  $D_{5h}$ ,  $D_{6h}$ , and  $D_{7h}$ . The nuclear distortions that form the bases for doubly degenerate representations of these point groups have the two components which are of different symmetry

Nuclear distortions $Q_1, Q_2$ $Q_1^2 + Q_2^2$	Types of mode 1 $D_{3h}$ $D_{6h}$		$2 D_{5h}$		3 D <sub>7h</sub>		
	E' A'1	$E_{2g} \\ A_{1g}$	$E_1' \\ A_1'$	E'2 A'1	$E'_1 \\ A'_1$	E'2 A'1	$E'_3 \\ A'_1$
$\begin{array}{c} Q_1^2 - Q_2^2 \\ 2Q_1 Q_2 \end{array}$	E'	$E_{2g}$	$E_2'$	$E_1'$	$E_2'$	$E'_3$	$E'_1$
$(Q_1^2 + Q_2^2)Q_1 (Q_1^2 + Q_2^2)Q_2$	E'	$E_{2g}$	$E'_1$	$E_2'$	$E'_1$	$E_2'$	$E'_3$
$\begin{array}{c} Q_1^3 - 3Q_1Q_2^2 \\ Q_2^3 - 3Q_1^2Q_2 \end{array}$	$egin{array}{c} A_1' \ A_2' \end{array}$	$\begin{array}{c} A_{1g} \\ A_{2g} \end{array}$	$E_2'$	$E'_1$	$E'_3$	$E'_1$	$E_2'$

**Table 1.** Irreducible representations to which belong  $Q_1$  and  $Q_2$ , their squares and cubes, and their binary products for various types of modes

with respect to operation  $\sigma_v$ : one is symmetric and the other antisymmetric. The two components belong to the different irreducible representations of the reduced point group if it still includes  $\sigma_v$ , as in the case of the above four point groups. Further, it is apparent that Table 1 holds true also for the degenerate ground-state wavefunctions, that is,  $Q_1$  and  $Q_2$  can be replaced by  $\psi_{01}$  and  $\psi_{02}$ , respectively. Throughout this paper we define the choice of  $Q_1$  and  $Q_2$  and that of  $\psi_{01}$  and  $\psi_{02}$  as follows:

$$\sigma_{v}(Q_{1}, Q_{2}) = (Q_{1}, -Q_{2}) \tag{6-1}$$

$$\sigma_{v}(\psi_{01},\psi_{02}) = (\psi_{01},-\psi_{02}). \tag{6-2}$$

With the degenerate SO coupling modes, Table 1 is read with  $Q_1$  and  $Q_2$  being replaced by  $Q'_1$  and  $Q'_2$ , respectively. It is thus shown that in molecules belonging to  $D_{3h}$ ,  $D_{5h}$ ,  $D_{6h}$ , and  $D_{7h}$ , the FOJT active or SO coupling degenerate modes  $Q_1$  and  $Q_2$  or  $Q'_1$  and  $Q'_2$  bring about distorted structures belonging to the different point groups.

In the case of molecules belonging to point groups which do not have operation  $\sigma_{v}$ , e.g.,  $C_{3h}$ , distorted structures brought about by the FOJT or SOJT effect belong to the same point group: in case of  $C_{3h}$ , both the two distorted structures belong to  $C_s$ . We cannot energetically distinguish between the two distorted structures belonging to the same point group in the framework of the present group theoretical treatment. We have thus excluded  $C_{3h}$ ,  $C_{4h}$ ,  $C_{5h}$ ,  $C_{6h}$  etc. Furthermore, in molecules belonging to  $D_{4h}$ , e.g., cyclobutadiene, the FOJT effect is absent and the SO coupling mode  $(B_{2g})$  is not degenerate. In its cation and anion radicals, the FOJT active mode is not degenerate and the SO coupling degenerate modes  $(E_u)$ , if these radicals undergo the SOJT effects, bring about distorted structures belonging to  $C_{2v}$ . A similar situation is found in molecules belonging  $D_{4nh}$  (n > 1) and their cation and anion radicals so that these point groups also are excluded.

In Table 1 we classify the modes of nuclear distortion into three types from the following reasons. When in a molecule  $Q_1$  and  $Q_2$ , and  $(Q_1^2 - Q_2^2)$  and  $2Q_1Q_2$ 

belong to a unique (doubly) degenerate irreducible representation, E' of  $D_{3h}$ and  $E_{2g}$  of  $D_{6h}$ , modes  $Q_1$  and  $Q_2$  of the molecule are of Type 1. Note that  $Q_1$ and  $Q_2$ , and  $(Q_1^2 + Q_2^2)Q_1$  and  $(Q_1^2 + Q_2^2)Q_2$  belong to the same representation for all the types. In Type 2,  $(Q_1^2 - Q_2^2)$  and  $2Q_1Q_2$ , and  $(Q_1^3 - 3Q_1Q_2^2)$  and  $(Q_2^3 - 3Q_1^2Q_2)$ belong to the same representation, which is different from that of  $Q_1$  and  $Q_2$ . For modes of Type 3,  $Q_1$  and  $Q_2$ ,  $(Q_1^2 - Q_2^2)$  and  $2Q_1Q_2$ , and  $(Q_1^3 - 3Q_1Q_2^2)$  and  $(Q_2^3 - 3Q_1^2Q_2)$  belong to the three different doubly degenerate representations, e.g.,  $E'_1$ ,  $E'_2$ , and  $E'_3$ , respectively. The cyclic permutation produces the remaining two cases.

### 3. Sections of potential energy surfaces along the FOJT active or SO coupling modes

#### 3.1. The SO coupling modes for molecules having nondegenerate ground state

The simplest example of this type of molecule is given by the ground state of the cyclopropenyl cation  $(D_{3h})$ . In this molecule, the SO coupling modes (E') are degenerate on account of the existence of a lower doubly degenerate excited state and are of Type 1.  $E_0(Q'_1, Q'_2)$  for the SO coupling modes of Type 1 takes the following form;

$$E_0(Q'_1, Q'_2) = b'_1(Q'^2_1 + Q'^2_2) + c'_2(Q'^3_1 - 3Q'_1Q'^2_2)$$
<sup>(7)</sup>

where  $b'_1$  and  $c'_2$  are numerical numbers. For the SO coupling modes of Types 2 and 3, we have

$$E_0(Q'_1, Q'_2) = b'_1(Q'_1{}^2 + Q'_2{}^2).$$
(8)

Eqs. (7) and (8) are obtained by noting that all the expansion terms of  $E_0(Q'_1, Q'_2)$  given by Eq. (2) should be totally symmetric. It is shown from Eq. (7) that since it does not contain terms with  $Q'_2$ , for modes of Type 1 a potential energy minimum should exist along  $Q'_1$ . Along which of the positive and negative directions of  $Q'_1$  the energy minimum is located is governed by the signed value of  $c'_2$ . For the modes of Types 2 and 3, Eq. (8) indicates that there is no difference in energy between the sections of potential energy along  $Q'_1$  and  $Q'_2$ .

It should be added that whether a molecule of the type under consideration is actually distorted along the SO coupling modes or not depends on the actual value of  $b'_1$ : if it is positive, the molecule does not actually undergo the molecular-symmetry reduction due to the SOJT effect.

#### 3.2. The FOJT active modes for molecules having (doubly) degenerate ground states

The potential energy  $E_0(Q_1, Q_2)$  for a molecule with doubly degenerate ground states is composed of two parts with different symmetries (Eqs. (4) and (5)). Since by definition  $\psi_{01}$  is symmetric with respect to operation  $\sigma_v$  and  $\psi_{02}$  antisymmetric, Eq. (5-1) includes only the symmetric terms, while Eq. (5-2) only the antisymmetric ones. For the modes of Type 1 (for example, the E' modes of the cyclopropenyl radical) we have

$$E_{s}(Q_{1}, Q_{2}) = b_{1}(Q_{1}^{2} + Q_{2}^{2}) + c_{2}(Q_{1}^{3} - 3Q_{1}Q_{2}^{2})$$

$$E_{n1}(Q_{1}, Q_{2}) = aQ_{1} - b_{2}(Q_{1}^{2} - Q_{2}^{2}) + c_{1}(Q_{1}^{2} + Q_{2}^{2})Q_{1}$$

$$E_{n2}(Q_{1}, Q_{2}) = aQ_{2} + b_{2}(2Q_{1}Q_{2}) + c_{1}(Q_{1}^{2} + Q_{2}^{2})Q_{2}$$
(9)

where we have omitted suffix 0.

Our next task is to take out the components along  $Q_1$  and  $Q_2$  from the potential energy surfaces given by Eq. (9). For this purpose, we have to define the degenerate wavefunctions along the  $Q_1$  and  $Q_2$  modes. The wavefunctions for  $Q_1$  are taken to be  $\psi_{01}(Q_1, 0)$  and  $\psi_{02}(Q_1, 0)$  by definition. Those along  $Q_2$  are then given by

$$\psi_{0+}(0, Q_2) = \frac{1}{\sqrt{2}} (\psi_{01}(0, Q_2) + \psi_{02}(0, Q_2),$$

$$\psi_{0-}(0, Q_2) = \frac{1}{\sqrt{2}} (\psi_{01}(0, Q_2) - \psi_{02}(0, Q_2)).$$
(10)

For the modes of Type 1 we thus obtain the potential energy curves along  $Q_1$  and  $Q_2$  as follows: along  $Q_1$  we have

$$\langle \psi_{01}(Q_1, 0) | H' | \psi_{01}(Q_1, 0) \rangle = aQ_1 + (b_1 - b_2)Q_1^2 + (c_1 + c_2)Q_1^3 \langle \psi_{02}(Q_1, 0) | H' | \psi_{02}(Q_1, 0) \rangle = -aQ_1 + (b_1 + b_2)Q_1^2 + (-c_1 + c_2)Q_1^3$$

$$(11)$$

and along  $Q_2$ 

$$\langle \psi_{0+}(0, Q_2) | H' | \psi_{0+}(0, Q_2) \rangle = aQ_2 + b_1Q_2^2 + c_1Q_2^3$$
  
$$\langle \psi_{0-}(0, Q_2) | H' | \psi_{0-}(0, Q_2) \rangle = -aQ_2 + b_1Q_2^2 - c_1Q_2^3.$$
 (12)

Comparing the possible signed values of the coefficients of the second-order terms of Eq. (12) with those of Eq. (11), we can conclude that a potential energy minimum should be located along  $Q_1$ . Along which of the positive and negative directions of  $Q_1$  there exists the energy minimum is governed by the signed values of  $b_1$ ,  $b_2$ ,  $c_1$ , and  $c_2$ . It is noted that in this particular case, the differentiation between the potential energy profiles along the mutually opposite directions of  $Q_1$  can be made even by the second-order terms.

Likewise, for the modes of Types 2 and 3 the potential energy profiles along  $Q_1$  and  $Q_2$  are given, respectively, by

$$\langle \psi_{01}(Q_1, 0) | H' | \psi_{01}(Q_1, 0) \rangle = aQ_1 + b_1 Q_1^2 + c_1 Q_1^3$$
  
$$\langle \psi_{02}(Q_1, 0) | H' | \psi_{02}(Q_1, 0) \rangle = -aQ_1 + b_1 Q_1^2 - c_1 Q_1^3$$
(13)

and

$$\langle \psi_{0+}(0, Q_2) | H' | \psi_{0+}(0, Q_2) \rangle = aQ_2 + b_1 Q_2^2 + c_1 Q_2^3$$
  
$$\langle \psi_{0-}(0, Q_2) | H' | \psi_{0-}(0, Q_2) \rangle = -aQ_2 + b_1 Q_2^2 - c_1 Q_2^3.$$
 (14)

Apparently, for these modes there is no difference between the potential energy curves along  $Q_1$  and  $Q_2$ .

#### 3.3 The SO coupling modes for molecules having the degenerate ground states

We now deal with the SO coupling modes for a molecule which undergoes the FOJT effect. We examine the potential energy profiles along the SO coupling modes that belong to a degenerate irreducible representation different from that to which the FOJT active modes belong. For example, in the lowest excited singlet state  $(E'_2)$  of the cyclopentadienyl cation, the SO coupling modes belong to  $E'_2$  and the FOJT active modes to  $E'_1$ . Since in molecules whose FOJT active modes are of Type 1, the SO coupling modes belong to the same degenerate representation as the FOJT active modes, they are excluded from the present discussion.

First, we treat molecules whose SO coupling modes are of Type 2. The potential energy profiles along  $Q'_1$  and  $Q'_2$  are expressed, respectively, by

$$\langle \psi_{01}'(Q_1',0)|H'|\psi_{01}'(Q_1',0)\rangle = (b_1'-b_2')Q_1'^2 + c_2'Q_1'^3 \langle \psi_{02}'(Q_1',0)|H'|\psi_{02}'(Q_1',0)\rangle = (b_1'+b_2')Q_1'^2 - c_2'Q_1'^3$$
(15)

and

$$\langle \psi_{0+}'(0, Q_2') | H' | \psi_{0+}'(0, Q_2') \rangle = b_1' Q_2'^2 + c_2' Q_2'^3 \langle \psi_{0-}'(0, Q_2') | H' | \psi_{0-}'(0, Q_2') \rangle = b_1' Q_2'^2 - c_2' Q_2'^3.$$
 (16)

Eqs. (15) and (16) indicate that irrespective of the signed values of  $b'_1$  and  $b'_2$ , a minimum of the potential energy should be located along  $Q'_1$ . Along which of the positive and negative directions of  $Q'_1$  the minimum point is found is governed by the signed values of  $c'_2$ . Along which of the FOJT active mode  $Q_1$  and the SO coupling mode  $Q'_1$  the true minimum exists is governed by the signed values of numerical coefficients of Eqs. (11) and (15) and cannot be decided by the present group theoretical treatment.

Next, we deal with the molecules whose SO coupling modes  $Q'_1$  and  $Q'_2$  are of Type 3. There are two cases to be treated separately. Case 1: quadratic terms  $Q'_1^2 - Q'_2^2$  and  $2Q'_1Q'_2$  belong to the same degenerate representation as the FOJT active modes and cubic terms  $Q'_1^3 - 3Q'_1Q'_2$  and  $Q'_2^3 - 3Q'_1{}^2Q'_2$  belong to the remaining one of the three degenerate representations. Case 2: cubic terms  $Q'_1{}^3 - 3Q'_1Q'_2{}^2$  and the FOJT active modes belong to the same degenerate representation, and quadratic terms  $Q'_1{}^2 - Q'_2{}^2$  and  $2Q'_1Q'_2{}^2$  belong to the same degenerate representation, and quadratic terms  $Q'_1{}^2 - Q'_2{}^2$  and  $2Q'_1Q'_2{}^2$  belong to the remaining one of the three representations (see Table 1).

In Case 1 we have

$$\langle \psi'_{01}(Q'_1, 0) | H' | \psi'_{01}(Q'_1, 0) \rangle = (b'_1 - b'_2) Q'_1^2 \langle \psi'_{02}(Q'_1, 0) | H' | \psi'_{02}(Q'_1, 0) \rangle = (b'_1 + b'_2) Q'_1^2$$
(17)

and

$$\langle \psi_{01}'(0, Q_2') | H' | \psi_{01}'(0, Q_2') \rangle = (b_1' + b_2') Q_2'^2 \langle \psi_{02}'(0, Q_2') | H' | \psi_{02}'(0, Q_2') \rangle = (b_1' - b_2') Q_2'^2.$$
(18)

It is noted that in this case the wavefunctions along  $Q'_1$  are taken to be  $\psi_{01}(Q'_1, 0)$ and  $\psi_{02}(Q'_1, 0)$  and those along  $Q'_2$  to be  $\psi_{01}(0, Q'_2)$  and  $\psi_{02}(0, Q'_2)$ . Eqs. (17) and (18) show that there is no difference in energy between the potential energy curves along  $Q'_1$  and  $Q'_2$ .

In Case 2 we have

$$\langle \psi_{01}'(Q_1',0) | H' | \psi_{01}'(Q_1',0) \rangle = b_1' Q_1'^2 + c_2' Q_1'^3 \langle \psi_{02}'(Q_1',0) | H' | \psi_{02}'(Q_1',0) \rangle = b_1' Q_1'^2 - c_2' Q_1'^3$$
(19)

and

$$\langle \psi_{0+}'(0, Q_2') | H' | \psi_{0+}'(0, Q_2') \rangle = b_1' Q_2'^2 + c_2' Q_2'^3 \langle \psi_{0-}'(0, Q_2') | H' | \psi_{0-}'(0, Q_2') \rangle = b_1' Q_2'^2 - c_2' Q_2'^3$$

$$(20)$$

Inspecting Eqs. (19) and (20), we arrive at the same conclusions as obtained in Case 1.

All the above results are summarized as follows. For the SO coupling modes of Type 1 in molecules with nondegenerate ground states, the FOJT active modes of Type 1, and the SO coupling modes of Type 2 in molecules with (doubly) degenerate ground states, the minimum of the potential energy should be located along  $Q_1$  and  $Q'_1$ . In all other cases, the potential energy profiles between along  $Q_1$  and  $Q_2$  or between along  $Q'_1$  and  $Q'_2$  cannot be differentiated in the present third-order perturbational approximation. Our results are in qualitative agreement with the experimental facts that the distorted structures due to the FOJT or SOJT effects confirmed so far are always those brought about by the distortion along  $Q_1$  or  $Q'_1$ .

## 4. The coefficients in the expansion of electronic energy in power series of $Q_i$ including up to the third power

In order to apply the general theory obtained above to actual molecules, we need to estimate the possible values of the coefficients of the various powers (up to the third power) in the expansion of electronic energy in power series of the relevant mode  $Q_i$ . Using the perturbation theory (see Appendix), we can express the coefficients in terms of the zeroth-order wavefunctions and energies. In cases in which the ground state is doubly degenerate, the perturbed energy for  $\psi_{01}$  is

given by

with term A is given by

$$A = -\left\langle \psi_{01} \left| \left( \frac{\partial H}{\partial Q_i} \right)_0 \right| \psi_{01} \right\rangle \sum_{n \neq 0} \frac{\left\langle \psi_{01} \left| \left( \frac{\partial H}{\partial Q_i} \right)_0 \right| \psi_n \right\rangle \left\langle \psi_n \left| \left( \frac{\partial H}{\partial Q_i} \right)_0 \right| \psi_{01} \right\rangle}{\left( E_n - E_0 \right)^2}$$
(22)

where  $\psi_{01}$  and  $\psi_{02}$  are the zeroth-order degenerate ground-state wavefunctions. The perturbed energy for  $\psi_{02}$  is obtained by replacing  $\psi_{01}$  with  $\psi_{02}$  in Eqs. (21) and (22). If the ground state is not degenerate,  $\psi_{01}$  and  $\psi_{02}$  are replaced by  $\psi_{0}$ , and the second term and term A should be deleted in Eq. (21). Eq. (21) thus obtained for the nondegenerate case is different in appearance from but essentially the same as the formula given by Salem [8].

In order to estimate the probable values of various matrix elements appearing in Eqs. (21) and (22) we fully use the selection rules based on the group theoretical argument.

Finally it is noted that the results obtained above hold also for excited states. For the *l*th excited states,  $\psi_0$  or  $\psi_{01}$  and  $\psi_{02}$  should be replaced by  $\psi_l$  or  $\psi_{l1}$  and  $\psi_{l2}$ .

#### Appendix

In cases in which the ground state is doubly degenerate  $(\psi_{01} \text{ and } \psi_{02})$ , it is shown by using the Rayleigh-Schrödinger perturbation theory [9] that in the perturbed energy for

 $\psi_{01}$  the following term B appears in addition to Eq. (21):

$$B = \sum_{m \neq 0} \sum_{n \neq 0} \frac{\langle \psi_{01} | V | \psi_m \rangle \langle \psi_m | V | \psi_{02} \rangle \langle \psi_{02} | V | \psi_n \rangle \langle \psi_n | V | \psi_{01} \rangle}{(E_m - E_0)(E_{01}^{(1)} - E_{02}^{(1)})(E_n - E_0)}$$
(A1)

where  $E_{0j}^{(1)} = \langle \psi_{0j} | V | \psi_{0j} \rangle (j = 1, 2)$  and  $V = (\partial H / \partial Q_i)_0 Q_i$ .

For the perturbed energy for  $\psi_{02}$  the additional term is -B. In Eq. (A1), if  $\langle \psi_{01} | V | \psi_m \rangle$  is nonvanishing,  $\langle \psi_m | V | \psi_{02} \rangle$  should vanish, and vice versa because in molecules treated in this paper,  $\psi_{01}$  and  $\psi_{02}$  are of opposite symmetry with respect to  $\sigma_v$ . Therefore, in Eq. (21) term B is omitted in both the degenerate and nondegenerate cases.

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